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ISE CORE Cybersecurity Roadmap

CORE Risk-Focused Examination Program

For credit unions over $50 million in assets

Based on the 2023 NCUA ISE CORE requirements, TraceSecurity recommends the following:

FFIEC-Based Risk Assessment (Stmt 2.1, 4)

- Tier 1 (123 controls), Tier 2 (183 controls), Tier 3 (254 controls)
- Option to include additional controls as needed

FFIEC-Based IT Security Audit* (Stmt 5, 5.1)

- Tier 1 (123 controls), Tier 2 (183 controls), Tier 3 (254 controls)
- Option to include additional controls as needed

Vulnerability Assessment* (Stmt 5, 5.2, 5.3)

- Initial scan and report completed by TraceSecurity
- Includes access to Vulnerability Management software for monthly scans and reporting capabilities

External Penetration Test* (Stmt 5.4, 5.5)

- Based on number of externally facing devices

Internal Penetration Test* (Stmt 5.4, 5.5)

- Based on number of internal nodes

Security Awareness Training (Stmt 7.3, 7.4, 7.5)

- TraceEducation Video & Quiz Training
    - TraceEducation platform can be used internally, or managed by TraceSecurity

Remote Social Engineering* (Stmt 5.6)

- Phishing testing for 100% of employees
    - TracePhishing platform can be used internally, or managed by TraceSecurity
- Vishing testing for 10% of employees

Onsite Social Engineering* (Stmt 5.6)

Tabletop Testing (Stmt 10.3, 10.4)

- Disaster Recovery and/or Business Continuity Plan

**Must be conducted by independent or third-party organization*

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CORE+ Additional Requirements

If applicable, your examiner may also require the following:

Quarterly Vulnerability Assessment (CORE+)

- Quarterly authenticated scanning
- Includes access to Vulnerability Management software for continued scans and reporting capabilities

Remote Social Engineering (CORE+)

- Smishing testing

Physical Security Review & Clean Desk Revices (CORE+)

Web Application Testing* (Stmt 5)

- Based on number of applications

Wireless Assessment & Penetration Test (Stmt 5)

- Based on number of wireless networks

VPN Configuration Review* (Stmt 13)

Remote Access Assessment* (Stmt 13)

Password Audit (Stmt 13.1)

Firewall Configuration Review* (Stmt 14.1)

Ransomware Preparedness Assessment (CORE+)